

LA

PCT

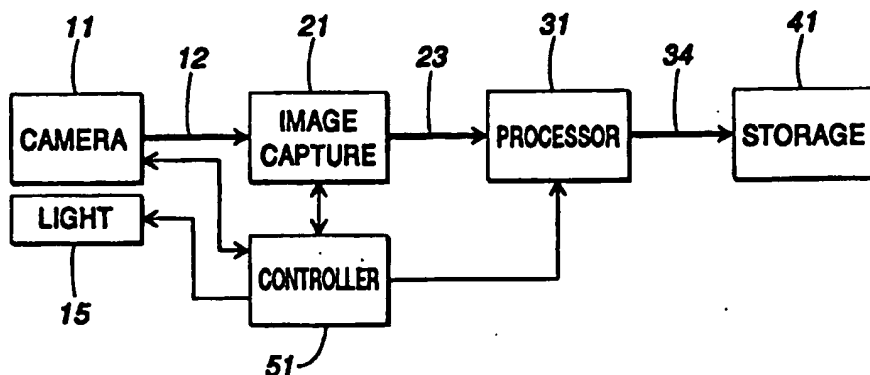
WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : G06K 9/00		A1	(11) International Publication Number: WO 96/39676
			(43) International Publication Date: 12 December 1996 (12.12.96)
(21) International Application Number: PCT/US96/08316		(81) Designated States: CA, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(22) International Filing Date: 4 June 1996 (04.06.96)		<p>Published With international search report.</p>	
(30) Priority Data: 08/463,746 5 June 1995 (05.06.95) US			
(71) Applicant: UNITED PARCEL SERVICE OF AMERICA, INC. [US/US]; 55 Glenlake Parkway, N.E., Atlanta, GA 30328 (US).			
(72) Inventor: PATEL, Mark, A.; Apartment 8, One Luffberry Avenue, Norwalk, CT 06851 (US).			
(74) Agents: ANDERSON, Albert, S. et al.; Jones & Askew, 37th floor, 191 Peachtree Street, N.E., Atlanta, GA 30303-1769 (US).			

(54) Title: METHOD AND APPARATUS FOR NON-CONTACT SIGNATURE IMAGING



(57) Abstract

A portable, hand-held apparatus for capturing a gray scale image of a signature within a box having fiducial marks in the corners. Portions of the image outside the box are trimmed away and the box is oriented and scaled to a standard size and shape. Signature storage requirements are minimized and signature recognition is facilitated by thresholding the gray scale image and thinning the strokes of the signature to an image width of one pixel.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

5

10

METHOD AND APPARATUS FOR NON-CONTACT SIGNATURE IMAGING

15

FIELD OF INVENTION

The present invention relates generally to signature capture and more particularly to signature capture from a video image of a signature.

20

BACKGROUND OF THE INVENTION

Many transactions are authorized, verified, or acknowledged by signature of a person involved in the transaction. In many situations it has become important to capture the signature at the time it is written and to transmit a representation of the signature to a remote site to verify the authenticity of the signature. In other situations, capture of the signature and storage, or transmission to a remote site, is important for record keeping. In an attempt to meet the requirements of real time signature capture, many methods and devices have been used. Typically, the approach in the past has been to use special signature pads which are pressure sensitive or sensitive to magnetic or electrical fields generated when used with special pens. Frequently, the special pens are tethered to or near the special signature pads, making their use awkward for the general public. In addition, the special signature pads are awkward to integrate with existing

equipment and existing techniques of acquiring authorizing or acknowledging signatures.

5 Special pens and special signature pads are prone to damage from the abuse received under typical conditions of use. As a result, most methods and devices for real time capture of signatures are plagued with poor reliability. Furthermore, the use of special electronic pens has been poorly received by the general public. The fact that the special pen and special signature pad must be used by the general public increases the likelihood of damage. In portable equipment,
10 the integration of a special signature pad in the portable equipment generally means that the equipment must be given over to the person signing. This is yet a further drawback, in that the portable equipment can be dropped and damaged, and, in addition, the operator or attendant of the equipment is prevented from completing other tasks with the portable equipment while the signature is being obtained.

15 In many instances, such as in package delivery services, the need exists to obtain many signatures and to retain the signatures until the opportunity arises to transfer the stored signature data to a more permanent repository. Thus, the need exists for signature capture and storage for a relatively large number (on
20 the order of hundreds) of signatures in a portable device.

Thus, a need exists for a reliable and efficient device which is capable of capturing and storing a plurality of signatures which are made with standard writing instruments on arbitrary writing surfaces.

25

SUMMARY OF THE INVENTION

The invention seeks to provide an apparatus and method that is reliable and efficient, that is capable of capturing and storing a plurality of
30 signatures made with standard writing instruments on a standard writing surfaces or any signature made with a dark pen having a medium or wide tip, and that is contained entirely within portable equipment containing no moving parts and requiring no contact with the writing surface.

In accordance with the invention, these objects are accomplished by providing a signature capture device having no moving parts, consisting only of a CCD camera, control electronics, a processor and storage. The elements of the invention may be installed in a hand-held portable device. Captured signatures may be stored in the device or may be transmitted to a remote location for verification or recording.

Generally described, the present invention provides a method of representing a digital image of a signature, comprising the steps of acquiring a gray scale digital image of the signature, wherein the digital image is comprised of a plurality of rows of pixels and a plurality of columns of pixels, the i th column of the plurality of pixels and the j th row of the plurality of pixels being denoted by location $[i,j]$, and the gray scale value of the pixel at location $[i,j]$ being denoted by $P[i,j]$; computing, for at least one location $[i,j]$ in a region of the digital image containing the signature, a plurality of directional second derivatives of $P[i,j]$; selecting from among the second derivatives of $P[i,j]$ the largest magnitude second derivative; and constructing a thresholded digital image of the signature by assigning a first preselected value to a location corresponding to location $[i,j]$ of the second digital image if the largest magnitude second derivative is positive and by assigning a second preselected value if the largest magnitude second derivative is not positive.

In a preferred embodiment, the present invention overcomes the problems with prior devices and techniques by use of solid state video technology, preferably a charge couple device (CCD) camera. Such cameras typically produce a gray scale image of a scene. The present invention enables the use of a hand-held CCD camera for portable operations, and is particularly suited for use in a portable, dedicated signature capture unit. Because portable cameras may produce images which are skewed and have no pre-aligned orientation or registration, the invention preferably provides a signature box on the writing surface. The signature box facilitates the detection and processing of the portion of the image containing the signature. The signature box preferably is defined by four fiducial marks, one in

each corner of the box. The presence of the box enables the invention to select and trim the portion of the image containing the signature. Since the initial image may be of any size and orientation, the invention may also scale and re-orient the gray scale image to place it in a previously-selected standard size and orientation.

5

A gray scale image requires a relatively large amount of storage. Trimming the image to contain only the signature box reduces the storage required. To reduce the signature storage requirements further, the invention thresholds the gray scale image so that the picture elements (pixels) corresponding to the signature portion of the image are set to a preselected value representing black, preferably 1, and the pixel values for the remainder of the image are set to another preselected value representing white, preferably 0. Additional storage reductions may be obtained by thinning the thresholded image of the signature, so that the strokes of the signature are only one pixel wide. Representations of the strokes may also be stored in a compressed form, such as vector representation or run length encoded ("RLE") form.

10

15

The CCD or other video camera is used to acquire a digital image of a signature, where the digital image is comprised of a plurality of rows of pixels and a plurality of columns of pixels. The preferred directions of the directional second derivatives are along the direction of a row, along the direction of a column, and the diagonals of a box, the sides of which are oriented along the rows and columns. In these directions, the derivatives may be computed according to the following formulae:

20

- (a) $P[i-1, j] - 2 P[i, j] + P[i+1, j]$
- (b) $P[i, j-1] - 2 P[i, j] + P[i, j+1]$
- (c) $P[i-1, j-1] - 2 P[i, j] + P[i+1, j+1]$
- (d) $P[i+1, j-1] - 2 P[i, j] + P[i-1, j+1]$

25

After the signature is thresholded, the binary image may be thinned to yield a representation of the signature which is one pixel wide, corresponding to the path of the pen. A Medial Axis Transform ("MAT"), such as that described in

Practical Image Processing by R. Lewis (Ellis Horwood, 1990) and which is incorporated herein by reference, may be applied to the binary image, and 3x3 thinning masks may be applied to each black pixel in order of increasing MAT value. Finally, the thinned image is compressed using either commercial techniques
5 for vector representation of the signature strokes, such as those supplied by Roadnet Technologies, or by RLE representation.

Other objects, features and advantages of the invention will become apparent upon review of the following detailed description of preferred
10 embodiments of the invention, when taken in conjunction with the drawings and the appended claims.

BRIEF DESCRIPTION OF THE DRAWINGS

15

Figure 1 is a block diagram of the major components of an embodiment of the present invention.

Figure 2 is a representation of a preferred signature box used to
20 record a signature to be captured by the invention.

Figure 3 is a representation of an example transformation performed to orient and scale the signature box of Figure 2.

25 Figure 4 is a diagram of the camera assembly for an embodiment of the present invention.

Figure 5A is the set of "delete" thinning masks for use with the
invention.

30

Figure 5B is the set of "conditionally delete" thinning masks for use with the invention.

Figure 5C is the set of "leave" thinning masks for use with the invention.

Figure 6 is a circuit diagram for a controllable power regulator, for use with the invention.

Figure 7 is a circuit diagram for a circuit to control the operation of a LED light source, for use with the invention.

Figure 8 is a circuit diagram for a circuit to control the camera integration period, for use with the invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The major components of the invention are a CCD camera 11, an image capture module 21, a processor 31, a storage device 41, and a controller 51. The controller may have a keyboard (not shown) to facilitate operation of the invention. The camera can be any type of miniature CCD camera, for example a Sony CCB-M35 miniature card camera, fitted with a Sony CCL-M05XE 5mm lens. As supplied by the manufacturer, the camera is equipped with a variable iris with a miniature adjusting motor. To eliminate the moving parts, the adjustable iris unit can be removed and replaced with a fixed iris. It is helpful to include a red filter (not shown) for the camera, combined with a red illumination source 15, to reduce the effects of external light. The combination of filter and illumination source 15 is especially useful in reducing the flicker caused by fluorescent lighting. The filter may be of optical glass deposited with a short pass multilayer dielectric filter, having a band pass characteristic from 640 nm to 720 nm. The filter may be mounted directly behind the iris to minimize image distortion.

Illumination is provided by red LEDs with a peak emission wavelength of 660 nanometers. A total of eight LEDs may be mounted around the camera lens and adjusted to approximate a cone of light. To reduce power

consumption, the LEDs may be strobed by the camera shutter, so that they are switched on only during the integration period as a frame of the CCD image is being captured. The strobing of the LEDs may be accomplished by using the camera's substrate electrode voltage to drive a one shot circuit. The complete camera assembly with lens, LEDs and mounting bracket is shown in Figure 4.

The Sony CCB-M35 CCD card camera 11 utilizes a one-third inch interline transfer CCD. The device has a resolution of 510 x 492 pixels, and is designed for interlaced operation. The unit generates NTSC composite video, operating at 30 frames per second. Using three digital inputs, the integration period of the camera can be varied from 16.6 milliseconds to 0.1 milliseconds in 3 dB increments. Based on the motions of a typical user, the integration period should not be longer than four milliseconds to avoid significant motion blur.

The camera 11, LED illumination source 15 and keyboard are integrated with the control unit 51. The control unit permits the processor 31 to control the system's power, provides a regulated 5 volt supply to the camera, drives the LEDs using the integration period as a strobe, monitors the camera output and adjusts the integration period accordingly, returns an acknowledgment to the processor once the camera has reached a stable condition and is ready for use, and monitors and reads control keys, if a keypad is provided for the control unit.

A conventional image capture module (circuit board) 21 may be used to obtain each gray scale image frame from the camera, such as the Super Video Input Adapter manufactured by Jovian Logic Corporation. This board provides 640 rows and 480 columns of pixels, each having a six bit gray scale.

Signature image processing techniques. Capturing a frame of video and storing it in digital form is a valid method of signature capture; however, it would require over 90 kilobytes of storage space for just one signature. Clearly, such a method is unsuitable for a portable device with limited memory capacity. Therefore, image processing is used to extract the signature information in the

captured frame. Preferably, this information may be stored as a series of vectors, representing the path of the pen.

5 There are three main areas in which image processing can be used to reduce the amount of data. First, the signature will not cover the entire frame, thereby making the surrounding areas unimportant. In some cases, the resolution of the signature will be greater than needed, offering further opportunity for data reduction.

10 The captured frame is also a gray scale image, with each pixel capable of having a range of values. However, for a signature, it is only necessary to know if a pixel is black or white. Therefore, the image can be thresholded to reduce the shading information.

15 Finally, a signature has several geometric and topological characteristics which can be used to reduce the amount of storage space needed. For example, it contains large areas of white pixels, and most black pixels are part of a line.

20 The key factor in trimming excess image area is successfully locating the signature. To aid in this process, special markers 75a, 75b, 75c, and 75d can be placed on the label, as shown in Figure 2. Since the labels are printed consistently, the positions of the corners of the signature box 71 can be precisely interpolated from the locations of these markers 75a, 75b, 75c, and 75d. The
25 signature can then be mapped to an image of a standard size and shape, which simplifies subsequent processes. The mapping process uses simple linear algebra, known to those skilled in the art, to reference pixels in the captured image to a normalized image of standard dimensions. A number of points 81a, 81b, and 81c, corresponding to the desired vertical resolution of the normalized image, are
30 evenly distributed along the sides of the signature box in the image. Segments are then drawn across the signature between corresponding points, as shown in Figure 3.

Upon these segments, a number of sample points are evenly distributed, corresponding to the horizontal resolution of the normalized image. The values of the pixels at each of these sample points are transferred to the normalized image 82.

5

As an example of the mapping which can be used to place the signature image in a standard size and shape, consider a signature box which has marker 75a (upper left) located at pixel position $[a_1, b_1]$, 75b (upper right) located at pixel position $[a_2, b_2]$, 75d (lower right) located at pixel position $[a_3, b_3]$, and
 10 75c (lower left) located at pixel position $[a_4, b_4]$. The desired size of the standard image is W columns wide and H rows high. Each pixel in the standard image located at $[w, h]$ is assigned the same value as $P[i, j]$, where $[i, j]$ is the location of a pixel in the original captured image given by

$$\begin{aligned} i &= x_L + ((x_R - x_L) (w/W)) \\ j &= y_L + ((y_R - y_L) (w/W)) \end{aligned}$$

15

where $[x_L, y_L]$ and $[x_R, y_R]$ are the locations of the left and right segment endpoints, given by

$$\begin{aligned} x_L &= a_1 + ((a_4 - a_1) (h/H)) \\ y_L &= b_1 + ((b_4 - b_1) (h/H)) \\ x_R &= a_2 + ((a_3 - a_2) (h/H)) \\ y_R &= b_2 + ((b_3 - b_2) (h/H)) \end{aligned}$$

20

25 This method is not exact, since the points on each segment are equally spaced even if one side of the box is closer, and consequently larger, than the other. However, unless extreme angles are involved, the error created is small and the effects should not be noticeable.

30

The act of thresholding a gray scale image determines whether a pixel is black or white. The most basic form of thresholding simply compares each pixel value to a constant; if the pixel value is larger, then it is considered white, otherwise it is considered black. However, variations in illumination intensity or

object distance can vary the pixel values, thereby reducing the performance of a fixed threshold.

5 A more sophisticated method uses the average values in a small area as the threshold level. This method is much more robust than a fixed threshold. However, it assumes that within the averaging area, an equal number of black and white pixels will exist. An unusually large number of white or black pixels in the area will cause the threshold to deviate considerably from its optimum value.

10 Since a signature is composed of lines, this information can be used to devise a more effective thresholding algorithm. To eliminate the effects of any offset, a discrete second derivative is taken in four directions. For a pixel at coordinates $[i,j]$ with a value of $P[i,j]$ we define the directional second derivatives D_1 , D_2 , D_3 , and D_4 as follows:

15

$$D_1 = P[i-1, j] - 2P[i, j] + P[i+1, j]$$

$$D_2 = P[i, j-1] - 2P[i, j] + P[i, j+1]$$

$$D_3 = P[i-1, j-1] - 2P[i, j] + P[i+1, j+1]$$

$$D_4 = P[i+1, j-1] - 2P[i, j] + P[i-1, j+1]$$

20

D_1 and D_2 are the discrete column and row second derivatives, respectively, and D_3 and D_4 are the discrete diagonal derivatives. These four values are then checked to determine the one with the largest absolute value; if that value is positive, then the pixel is considered black. Otherwise, the pixel is considered white.

25

While the size of normalized and thresholded image data is much smaller than that of the original frame, it is still quite large. A 250 x 50 pixel binary image still requires over 1.5 kilobytes of storage. The image should be compressed to increase the number of signature representations which may be stored in a given
30 memory configuration.

One possible method for compression is run length encoding. This technique specifies a value, then the number of times it is repeated. For the large

areas of white in a signature, this method is very effective in compressing the data. However, since it is performed using horizontal scan lines, it does not account for the omnidirectional nature of the black lines. Furthermore, the data format would be different from that currently generated by signature pads, thereby requiring software modifications for numerous applications. Certain situations may also require that the signature be captured as a series of pen movements in order to meet legal requirements.

To optimize the compression ratio, a vector coding sequence may be used. This is because a vector can point in any direction, like the signature lines which are being represented. It is because of this higher correlation that it is the most effective method of compression for signature data.

Each line in a signature can be specified by a starting point, where the pen made initial contact, and a series of vectors, describing the direction in which the pen was moved. However, in order to trace a signature in an image, it is preferable that it first be thinned.

Thinning erodes the black pixels in an image, leaving behind only a skeleton of the signature. This process is performed in two steps. First, the Medial Axis Transform (MAT) is applied to the image, which assigns to each black pixel its distance from the nearest white pixel. Then, in order of increasing MAT values, each black pixel and its 3x3 neighborhood are compared to a series of masks, which determine whether or not the black pixel can be removed, i. e., set to white. By definition, an image is thinned if no black pixel can be removed without changing the connectivity of an image. Typically, this means that the signature strokes are only one pixel wide. Thinning masks are shown in Figures 5A, 5B, and 5C.

In Figures 5A, 5B, and 5C, a black pixel is represented by 1 and a white pixel is represented by 0. This convention is used to simplify processing. The thinning masks are used to decide whether a black pixel should be removed (neighborhoods matching masks in Figure 5A) or not (neighborhoods matching

masks in Figure 5C). For neighborhoods matching the masks in Figure 5B, the black pixel is removed if one of its neighbors has a higher MAT value.

Having thinned the image, it can easily be converted to a vector
5 format. Using a Huffman coding scheme developed by Roadnet Technologies, these vector sequences can be compressed to very compact files. Roadnet Technologies has developed 11 basic graphics commands which should be able to describe any arbitrary signature. The thinned signature may be traced and represented using the set of 11 commands. The corresponding bit patterns are then
10 stored, yielding a highly compressed signature image.

Redrawing the signature from the compressed file is easily performed using a binary tree and simple graphics routines.

15 Controller. A number of camera 11 and illumination source 15 control functions are implemented with electronic circuits in a controller 51. A linear voltage regulator, shown in Figure 6, permits the processor to control the power supply to the camera. The regulator's voltage reference is powered by the DTR line from the processor 31 COM port. Hence, when the DTR is high, the
20 voltage reference is at +5 volts and the output of the regulator matches it. However, when the DTR is set low, the voltage reference drops to 0 volts, thereby shutting down power to the camera.

The integration period of the camera is used as a strobe to drive the
25 illumination source 15 LEDs. As shown in Figure 7, the substrate electrode signal is pulsed at the end of every line of camera data until the current field being clocked out is one integration period from the end. The pulses effectively empty the photoelements; hence, they do not accumulate charge until the pulses stop. One integration period later, the charges in the photoelements are transferred to the CCD
30 cells, thereby ending the integration period.

The substrate pulses are applied to a one-shot multivibrator, with its period set slightly longer than one line scan. Hence, the output to the LEDs remains off until at least two lines have been scanned without a substrate pulse.

- 5 As shown in Figure 8, three digital inputs, D0-D2(L), are used to adjust the integration period of the camera. The shutter speed is determined by

$$T = \frac{16.6}{2^D} \text{ ms}$$

- 10 where D is the value of the three bit number D2 D1 D0. Hence, by incrementing D, the integration period of the camera is halved. The three inputs are connected to an up/down counter.

- 15 By monitoring the IRIS_OUT signal of the Sony camera, the output of the CCD can be monitored prior to the Automatic Gain Control. Two thresholds are set, representing the allowable range for the signal. The signal is first low-pass filtered, then compared to the two thresholds.

- 20 If the signal amplitude exceeds the upper threshold, then the integration time is reduced by incrementing the counter. If the signal amplitude is below the lower threshold, then the integration time is increased by decrementing the counter. The ratio of the two thresholds is slightly larger than 3 db, thereby preventing oscillations.

- 25 To ensure stability, the counter is clocked only on every other field sync pulse. This is necessary because at least one field must be captured before the changes to D will effect the output signal.

- 30 Combinational logic is used to prevent the counter from counting below the minimum integration period.

By monitoring the clocks on the counter, an acknowledge signal is asserted when the counter is stable. This condition indicates that the output of the CCD is within the acceptable range.

5 Control Keys. Using one row of the keyboard and three column
conductors, three keys can be monitored. The row conductor is connected to (H),
and the column conductors are connected to the CTS, DSR and RI inputs on the
COM port. Pull down resistors are also connected to the three control lines. The
three keys may be used, for example to request a signature capture, to ignore a
10 signature, or to communicate with other modules in a system.

While this invention has been described in detail with particular reference to
preferred embodiments thereof, it will be understood that variations and
modifications can be made to these embodiments without departing from the spirit
15 and scope of the invention as described herein and as defined in the appended
claims.

CLAIMS

I claim:

- 5 1. A method of representing a digital image of a signature, comprising the steps of
 - (a) acquiring a gray scale digital image of said signature, wherein said digital image is comprised of a plurality of rows of pixels and a plurality of columns of pixels, the i th column of said plurality of pixels and the j th row of said plurality of pixels being denoted by location $[i,j]$, and the gray scale value of the pixel at location $[i,j]$ being denoted by $P[i,j]$;
 - 10 (b) computing, for at least one location $[i,j]$ in a region of said digital image containing said signature, a plurality of directional second derivatives of $P[i,j]$;
 - 15 (c) selecting from among said second derivatives of $P[i,j]$ the largest magnitude second derivative; and
 - (d) constructing a thresholded digital image of said signature by assigning a first preselected value to a location corresponding to location $[i,j]$ of said second digital image if said largest magnitude second derivative is positive and

20 by assigning a second preselected value if said largest magnitude second derivative is not positive.
- 25 2. The method of Claim 1, wherein the directions of the derivatives are selected from among the set of directions along the row containing said location $[i,j]$, along the column containing said location $[i,j]$, and along each of two diagonals through said location $[i,j]$.
- 30 3. The method of Claim 1, wherein said directional second derivatives are computed from among the formula:
 - (a) $P[i-1, j] - 2 P[i, j] + P[i+1, j]$;
 - (b) $P[i, j-1] - 2 P[i, j] + P[i, j+1]$;
 - (c) $P[i-1, j-1] - 2 P[i, j] + P[i+1, j+1]$; and

(d) $P[i+1, j-1] - 2 P[i, j] + P[i-1, j+1]$.

4. The method of Claim 1, wherein said plurality of second derivatives numbers 4.

5

5. The method of Claim 1, wherein said first preselected value is 1.

6. The method of Claim 1, wherein said second preselected value is 0.

10

7. The method of Claim 1, further comprising the step of thinning said thresholded digital image.

8. A method of obtaining a representation of a signature, comprising the steps of

(a) obtaining said signature on a surface having fiducial markings;

5 (b) acquiring a gray scale first digital image of said signature and said fiducial markings;

(b) identifying a region of said first digital image containing said signature by locating said fiducial markings in said first digital image;

10 (c) using the locations of said fiducial markings to map said region containing said signature to a gray scale second digital image having a preselected size and orientation, wherein said second digital image is comprised of a plurality of rows of pixels and a plurality of columns of pixels, the i th column of said plurality of pixels and the j th row of said plurality of pixels being denoted by location $[i,j]$, and the gray scale value of the pixel at location $[i,j]$ being denoted by $P[i,j]$;

15 (d) computing, for at least one location $[i,j]$ in said second digital image, a plurality of directional second derivatives of $P[i,j]$;

(e) selecting from among said second derivatives of $P[i,j]$ the largest magnitude second derivative; and

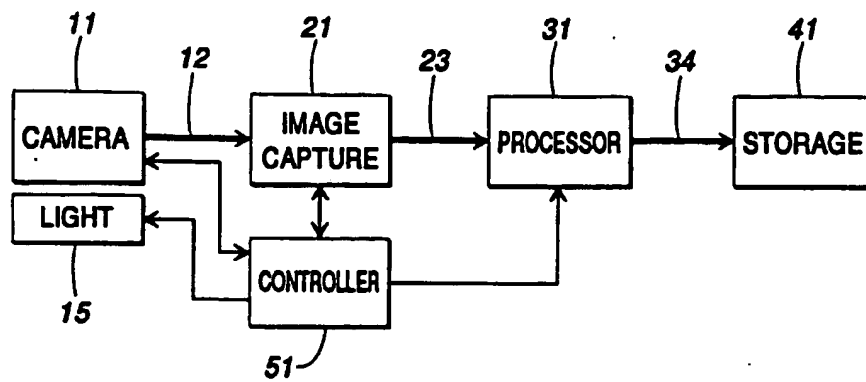
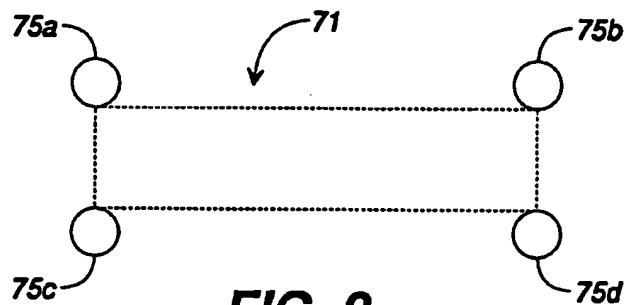
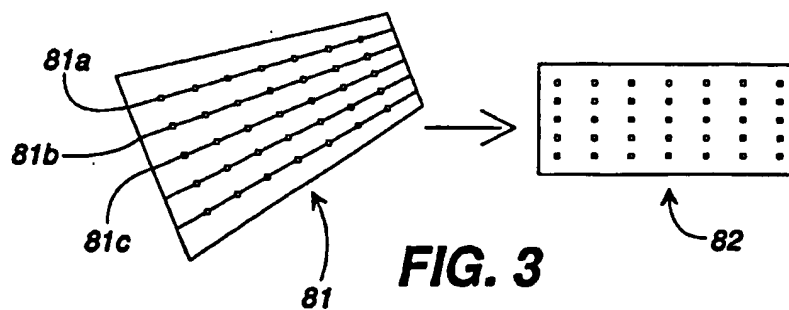
20 (f) constructing a thresholded digital image of said signature by assigning a first preselected value to a location corresponding to location $[i,j]$ of said second digital image if said largest magnitude second derivative is positive and by assigning a second preselected value if said largest magnitude second derivative is not positive.

25

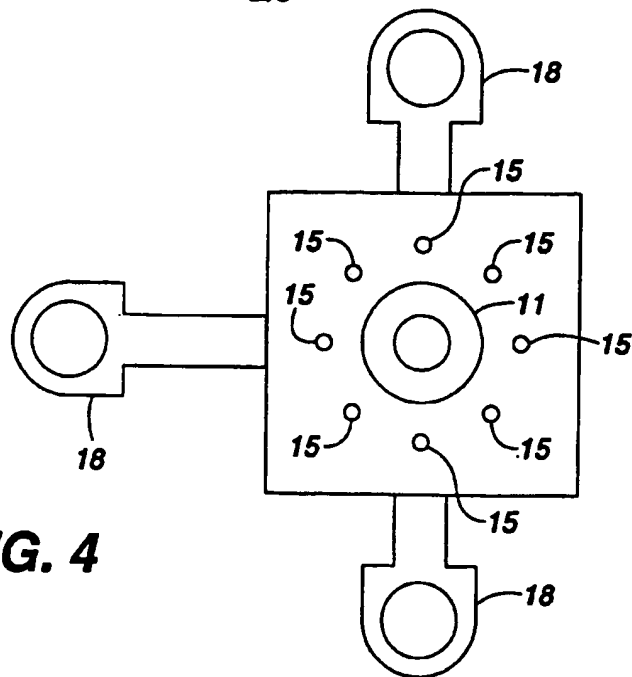
9. The method of Claim 8, further comprising the step of thinning said thresholded digital image.

10. An apparatus for obtaining a representation of a signature, comprising
- (a) a surface having fiducial markings to delineate an area for placement of said signature;
 - 5 (b) means for acquiring a gray scale first digital image of said signature and said fiducial markings;
 - (b) means for identifying a region of said first digital image containing said signature by locating said fiducial markings in said first digital image;
 - 10 (c) means for using the locations of said fiducial markings to map said region containing said signature to a gray scale second digital image having a preselected size and orientation, wherein said second digital image is comprised of a plurality of rows of pixels and a plurality of columns of pixels, the i th column of said plurality of pixels and the j th row of said plurality of pixels being
15 denoted by location $[i,j]$, and the gray scale value of the pixel at location $[i,j]$ being denoted by $P[i,j]$;
 - (d) means for computing, for at least one location $[i,j]$ in said second digital image, a plurality of directional second derivatives of $P[i,j]$;
 - (e) means for selecting from among said second derivatives of
20 $P[i,j]$ the largest magnitude second derivative; and
 - (f) means for constructing a thresholded digital image of said signature by assigning a first preselected value to a location corresponding to location $[i,j]$ of said second digital image if said largest magnitude second derivative is positive and by assigning a second preselected value if said largest magnitude
25 second derivative is not positive.
11. The apparatus of Claim 10, further comprising a means for thinning said thresholded digital image.

1/5

**FIG. 1****FIG. 2****FIG. 3**

2/5

**FIG. 4**

000	001	111	000	110	110	100	000	011	111	000	110
0X0	0X1	0X1	0X1	0X1	1X1	1X0	1X1	1X0	1X1	1X1	1X0
000	001	010	110	111	000	010	011	100	101	110	111
111	011	000	001	001	011	110	100	111	000	100	011
0X0	0X1	0X1	0X1	0X1	1X1	1X0	1X1	1X0	1X0	1X1	1X0
000	001	011	110	111	000	010	011	100	110	110	111
010	111	010	011	011	111	111	001	110	100	001	111
0X1	0X1	0X1	0X1	0X1	1X1	1X0	1X1	1X1	1X0	1X1	1X0
000	001	011	110	111	000	010	011	100	110	110	111
110	000	110	111	111	110	000	101	011	010	101	000
0X1	0X1	0X1	0X1	0X1	1X1	1X0	1X1	1X1	1X0	1X1	1X1
000	010	011	110	111	001	011	011	100	110	110	111
011	110	001	000	110	011	100	100	111	110	000	100
0X1	0X1	0X1	0X0	1X0	1X1	1X0	1X0	1X1	1X0	1X0	1X1
000	010	011	111	000	001	011	100	100	110	111	111
111	001	011	000	011	111	110	010	110	011	100	001
0X1	0X1	0X1	0X1	1X0	1X1	1X0	1X0	1X1	1X0	1X0	1X1
000	010	011	111	000	001	011	100	101	110	111	111
110	011	111	010	111	000	111	110	011	111	010	101
0X1	0X1	0X1	0X1	1X0	1X0	1X0	1X0	1X1	1X0	1X0	1X1
001	010	011	111	000	010	011	100	101	110	111	111

FIG. 5A

SUBSTITUTE SHEET (RULE 26)

3/5

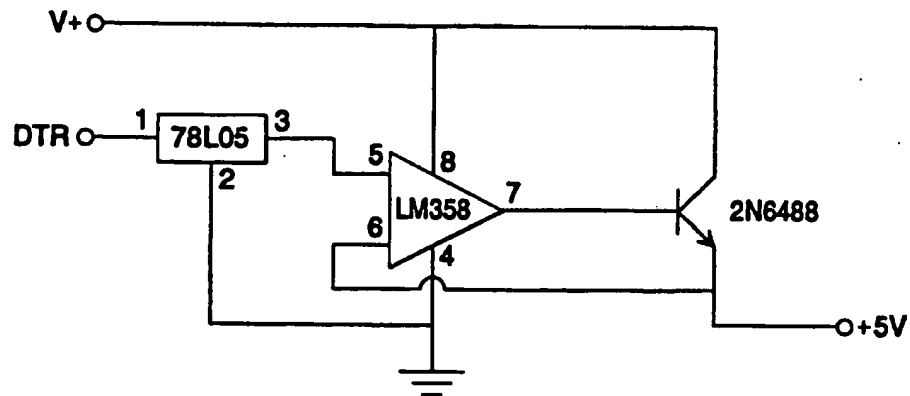
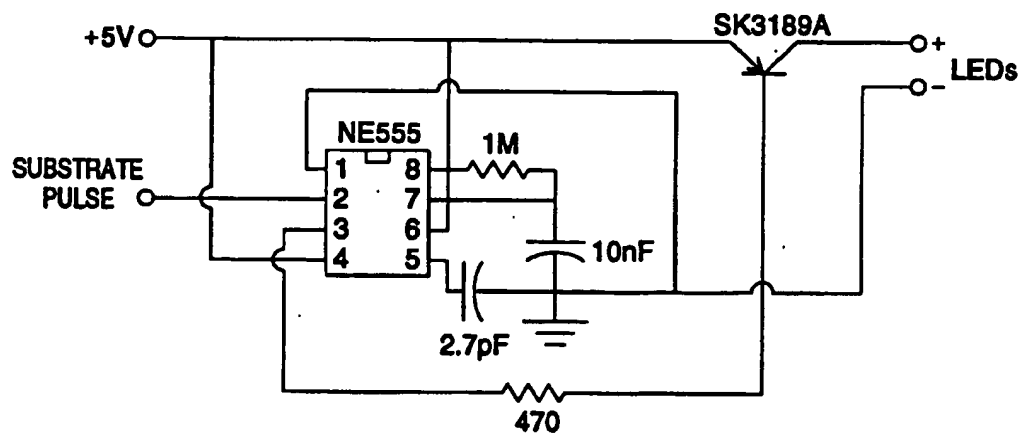
FIG. 5B

100	010	110	001	011	000	001	000	000	000	000	000
0X0	0X0	0X0	0X0	0X0	0X1	0X1	0X0	0X1	0X0	0X0	0X0
000	000	000	000	000	000	000	001	001	010	011	100
000	000	100	000								
0X0	1X0	1X0	1X0								
110	000	000	100								

FIG. 5C

101	100	001	000	101	110	101	000	010	001	101	101
0X0	0X0	0X0	0X1	0X0	0X0	0X0	1X0	1X0	1X0	1X1	1X1
000	010	011	100	101	110	111	001	010	011	100	101
001	010	101	100	011	001	011	100	001	101	000	001
0X1	0X0	0X0	0X1	0X0	0X0	0X0	1X0	1X0	1X0	1X0	1X0
000	010	011	100	101	110	111	001	010	011	101	110
101	110	011	010	111	101	111	010	101	011	100	101
0X1	0X0	0X0	0X1	0X0	0X0	0X0	1X0	1X0	1X0	1X0	1X0
000	010	011	100	101	110	111	001	010	011	101	110
100	001	111	110	000	011	100	110	011	010	010	010
0X0	0X0	0X0	0X1	0X1	0X0	0X1	1X0	1X0	1X1	1X0	1X1
001	010	011	100	101	110	111	001	010	011	101	110
010	101	100	001	100	111	101	001	000	110	110	110
0X0	0X0	0X1	0X1	0X1	0X0	0X1	1X0	1X1	1X1	1X0	1X1
001	010	011	100	101	110	111	001	010	011	101	110
110	011	101	101	010	100	010	101	100	011	001	011
0X0	0X0	0X1	0X1	0X1	0X1	1X0	1X0	1X1	1X1	1X0	1X1
001	010	011	100	101	110	000	001	010	011	101	110
001	111	100	011	110	010	001	011	010	111	101	111
0X0	0X0	0X0	0X1	0X1	0X1	1X0	1X0	1X1	1X1	1X0	1X1
001	010	100	100	101	110	000	001	010	011	101	110
101	100	010	111	001	110	101	111	110	001	011	001
0X0	0X1	0X0	0X1	0X1	0X1	1X0	1X0	1X1	1X0	1X0	1X0
001	010	100	100	101	110	000	001	010	100	101	111
011	010	110	000	101	101	000	000	001	101	111	101
0X0	0X1	0X0	0X0	0X1	0X1	1X1	1X1	1X1	1X0	1X0	1X0
001	010	100	101	101	110	000	001	010	100	101	111
111	101	001	100	011	100	100	100	101	000	000	010
0X0	0X1	0X0	0X0	0X1	0X0	1X1	1X1	1X1	1X1	1X1	1X1
001	010	100	101	101	111	000	001	010	100	101	111
100	100	101	010	111	010	010	010	011	100	100	110
0X1	0X0	0X0	0X0	0X1	0X0	1X1	1X1	1X1	1X1	1X1	1X1
001	011	100	101	101	111	000	001	010	100	101	111
010	010	011	110	100	110	001	001	111	010	010	011
0X1	0X0	0X0	0X0	0X0	0X0	1X1	1X1	1X1	1X1	1X1	1X1
001	011	100	101	110	111	000	001	010	100	101	111
101	110	111	001	010	001	101	101	010	001	001	111
0X1	0X0	0X0	0X0	0X0	0X0	1X1	1X1	1X0	1X1	1X1	1X1
001	011	100	101	110	111	000	001	011	100	101	111

4/5

**FIG. 6****FIG. 7**

INTERNATIONAL SEARCH REPORT

Int. Appl. No.
PCT/US 96/08316

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 G06K9/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 G06K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	IEEE TRANSACTIONS ON SYSTEMS, MAN AND CYBERNETICS, vol. 22, no. 4, 1 July 1992, pages 755-771, XP000298670 SUKHAN LEE ET AL: "OFFLINE TRACING AND REPRESENTATION OF SIGNATURES" Section "II.A Thinning" see figure 1	1-11
A	APPLIED OPTICS, vol. 30, no. 23, 10 August 1991, pages 3345-3353, XP000217382 WILKINSON T S ET AL: "USE OF SYNTHETIC DISCRIMINANT FUNCTIONS FOR HANDWRITTEN-SIGNATURE VERIFICATION" see page 3346, right-hand column, paragraph 1-2; figure 1	1-11

☐ Further documents are listed in the continuation of box C.

☐ Patent family members are listed in annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"B" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"A" document member of the same patent family

Date of the actual completion of the international search

28 August 1996

Date of mailing of the international search report

12.09.96

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 cpo nl,
Fax (+31-70) 340-3016

Authorized officer

Granger, B